



JSW

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	10/726,141
		Filing Date	December 1, 2003
		First Named Inventor	Kyung-Hyun Park
		Art Unit	2828
		Examiner Name	Tod Thomas Van Roy
Total Number of Pages in This Submission	13	Attorney Docket Number	3364P155

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input checked="" type="checkbox"/> Amendment / Reply  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input checked="" type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">-return receipt postvard</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Eric S. Hyman, Reg. No. 30,139 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	December 12, 2006

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Amber D. Saunders		
Signature		Date	December 12, 2006



# FEE TRANSMITTAL for FY 2006

Patent fees are subject to annual revision.

## Complete if Known

Application Number	10/726,141
Filing Date	December 1, 2003
First Named Inventor	Kyung-Hyun Park
Examiner Name	Tod Thomas Van Roy
Art Unit	2828
Attorney Docket No.	3364P155

☒ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☒ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below

☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayment of fee(s)  
under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

☒ Credit any overpayments

## FEE CALCULATION

### 1. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
15	20*	0	\$0.00
2	3*	0	\$0.00

Multiple Dependent

Large Entity	Small Entity
Fee Code	Fee Code
1202 50	2202 25
1201 200	2201 100
1203 360	2203 180
1204 790	2204 395
1205 300	2205 150

SUBTOTAL (1) (\$) 0.00

\*\*or number previously paid, if greater, For Reissues, see below

### 2. ADDITIONAL FEES

Large Entity	Small Entity
Fee Code	Fee Code
1051 130	2051 65
1052 50	2052 25
2053 130	2053 130
1251 120	2251 60
1252 450	2252 225
1253 1,020	2253 510
1254 1,590	2254 795
1255 2,160	2255 1,080
1401 500	2401 250
1402 500	2402 250
1403 1,000	2403 500
1451 1,510	2451 1,510
1460 130	2460 130
1807 50	1807 50
1806 180	1806 180
1809 790	1809 395
1810 790	2810 395

Other fee (specify) \_\_\_\_\_

SUBTOTAL (2)

Fee Paid

(\$)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Eric S. Hyman	Registration No. (Attorney/Agent)	30,139	Telephone	(310) 207-3800
Signature		Date	12/12/06		



Attorney's Docket No. 003364.P155

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kyung-Hyun Park et al.

Application No. 10/726,141

Filed: December 1, 2003

For: SELF-MODE LOCKED MULTI-  
SECTION SEMICONDUCTOR LASER  
DIODE

Examiner: Tod Thomas Van Roy

Art Unit 2828

Confirmation No. 3787

**AMENDMENT AND RESPONSE TO OFFICE ACTION**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

In response to the Office Action mailed September 14, 2006, Applicants respectfully request entry of the following amendments and consideration of the following remarks.